IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS:

AKINS ET AL.

GRP. ART UNIT: UNKNOWN

APPL. NO.: FILED:

UNKNOWN

EXAMINER: DOCKET NO .: UNKNOWN A-9233

TITLE:

JUNE 25, 2003 METHOD FOR PARTIALLY ENCRYPTING PROGRAM DATA

JUNE 25, 2003

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

This information disclosure statement is filed in accordance with 37 C.F.R. §§ 1.56, 1.97, and 1.98, and specifically:

M	(within Three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)
	under 37 CFR 1.97(c) together with either a: Statement Under 37 C.F.R. 1.97(e), or a \$180.00 fee under 37 CFR 1.17(p), or (After the CFR 1.97(b) time period, but before the final office action or notice of allowance, whichever occurs first)
	under 37 CFR 1.97(d) together with a: Statement under 37 CFR 1.97(e), and a \$180.00 petition fee set forth in 37 CFR 1.17(i)(1). (Filed after final office action or notice of allowance, whichever occurs first, but before payment of the issue fee)

Please charge \$0.00 to deposit account 19-0761. At any time during the pendency of this application, please charge any fees required to Deposit Account 19-0761 pursuant to 37 CFR 1.25. The Commissioner is hereby requested to credit any overpayment to Deposit Account No. 19-0761.

 \boxtimes Applicant(s) submit herewith Form PTO 1449 - Information Disclosure Citation together with copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may or may not be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56. As required by 37 C.F.R. §1.98(a), a legible copy of each document is provided.

The Cited Art includes:

1. U.S. 5,418,782 4. U.S. 6,108,365

2. U.S. 5,420,866 5. U.S. 2002/0188567

3. U.S. 6,009,116 6. U.S. 2002/0194613

7. U.S. 2002/0196939 11. U.S. 2003/0046686

8. U.S. 2003/0016949 12. U.S. 2003/0081776

- 9. U.S. 2003/0021412
- U.S. 2003/0026423 10.

A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individual(s) designated in 37 CFR 1.56(c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on the form PTO 1449 and is enclosed herewith.

The following rights are reserved by the Applicant(s): the right to establish the patentability of the claimed invention over any of the listed documents should they be applied as reference, and/or the right to prove that some of these documents may not be prior art, and/or the right to prove that some of these documents may not be enabling for the teachings they purport to offer.

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It is requested that the information disclosed herein be made of record in this application and that the Examiner initial and return a copy of the enclosed PTO-1449 to indicate the documents have been considered.

Respectfully Submitted,

By:

SEND CORRESPONDENCE TO:

Scientific-Atlanta, Inc.

Intellectual Property Dept. MS 4.3.510

5030 Sugarloaf Parkway

Lawrenceville, GA 30044

SHELLEY L. COUTURIER

Agent of Record

Reg. No.: 47,503

Phone: (770) 236-2352

Fax No.: (770) 236-4806



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS:

AKINS ET AL.

GRP. ART UNIT: 2132

APPL. NO.:

09/930,901

EXAMINER:

G. BARRON, JR.

FILED:

AUGUST 16, 2001

DOCKET NO.:

A-7598

TITLE:

REPRESENTING ENTITLEMENTS TO SERVICE IN A CONDITIONAL

ACCESS SYSTEM

JULY 19, 2002

INFORMATION DISCLOSURE STATEMENT

Received

JUL 1 9 2002

Commissioner for Patents Washington, D.C. 20231

Technology Center 2100

Sir:

This information disclosure statement is filed in accordance with 37 C.F.R. §§ 1.56, 1.97, and 1.98, and specifically:

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The Cited Art includes:

1.	4,155,042	5.	4,531,020	9. 4,649,533	13, 4,736,422
2.	4,358,672	6.	4,600,921	10. 4,658,093	14. 4,823,385
3.	4,388,643	7.	4,613,901	11. 4,712,238	15. 4,864,615
4.	4,405,829	8.	4,634,807	12. 4,712,239	16. 4,866,770

17. 4,885,777	37. 5,270,822	57. 5,488,410	77. 5,671,276
18. 4,887,296	38, 5,282,248	58. 5,497,422	78. 5,675,649
19. 4,912,762	39. 5,282,249	59. 5,499,294	79. 5,740,246
20. 4,982,430	40. 5,285,497	60. 5,499,295	80. 5,742,677
21. 4,993,068	41. 5,301,233	61. 5,506,904	81. 5,764,770
22. 5,003,591	42. 5,341,425	62. 5,509,073	82. 5,787,172
23. 5,018,196	43. 5,343,527	63. 5,519,780	83. 5,857,020
24. 5,029,207	44. 5,381,477	64. 5,524,052	84. 5,862,220
25. 5,036,537	45. 5,381,481	65. 5,550,984	85. 5,870,474
26. 5,073,935	46. 5,400,401	66. 5,557,678	86. 5,920,626
27. 5,124,117	47. 5,402,490	67. 5,557,765	87. 6,005,938
28. 5,142,578	48. 5,414,773	68. 5,559,889	88. 6,105,134
29. 5,151,782	49. 5,420,866	69. 5,563,950	89. 6,246,767
30. 5,155,591	50. 5,425,101	70. 5,565,909	90. RE 33,189
31. 5,175,765	51. 5,432,542	71. 5,568,552	91. RE 33,808
32. 5,231,665	52. 5,440,633	72. 5,568,554	92. EP 0 723 371 A
33. 5,235,643	53. 5,465,299	73. 5,583,939	93. EP 0 752 786 A
34. 5,237,610	54. 5,473,692	74. 5,588,058	94. WO 94/13107 A
35. 5,243,652	55. 5,481,542	75. 5,590,202	95. WO 95/29560 A
36. 5,249,230	56. 5,481,613	76. 5,621,793	Received
55. 5,2.5,256	, , , , , , , , , , , , , , , , , ,	- '	Heceived

OTHER PRIOR ART

ISO/IEC 13818-1, "Information Technology – Generic Coding of Moving Pictures and Associated Audio Systems," Draft of: November 13, 1994.

ISO/IEC JTC1/SC29/WG11, "Universal Multi-Program Multiplex and Transport for MPEG-2 Systems," January 1993.

ISO/IEC JTC1/SC29/WG11, "An MGEG-2 Multi-Program Multiplex Syntax," January 1993.

ISO/IEC JTC1/SC2/WG11, "Requirements and Method for High-Level Multiplexing of MPEG and Other Digital Service Bitstreams with Universal Transport Layer," November 1992.

Whitfield, Diffie, "Authentication and Authenticated Key Exchanges," DESIGNS, CODES AND CRYPTOGRAPHY, An International Journal, Vol. 2, No. 2, June 1992, pages 107-125.

JUL 1 9 2002

Schneier, Bruce, "Applied Cryptography Second Edition: Protocols, Algorithms and Spin 2100 in C," pages 357-363.

Menezes, Alfred J., "Handbook of Applied Cryptography," pages 506-525.

TM-1244 Rev. 4, "Final Technical Report of the Conditional Access Specialist Group," November 17, 1994.

Coutrot et al., "A Single Conditional Access System for Satellite-Cable and Terrestrial TV," IEEE Transactions on Consumer Electronics, Vol. 35, No. 3, August 1989, pages 464-468.

Louis Claude Guillou and Jean-Luc Giachetti, "Encipherment and Conditional Access," SMPTE Journal, 103 (1994) June, No. 6, White Plains, NY.

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Received

JUL 1 9 2002

Dechnology Center 2100

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Scientific-Atlanta, Inc.

Intellectual Property Dept. MS 4.3.518

5030 Sugarloaf Parkway

Lawrenceville, GA 30044

HUBERT J. BARNHARDT III

Attorney of Record

Reg. No.: 36,739

Phone: (770) 236-5950

Fax No.: (770) 236-4806

Certificate of Hand Delivery

By:

hereby certify that this correspondence with all

and-delivered to Examiner G. Barron, Jr. at the United States Patent and Trademark attachment

Office on

Received

U.S. Dept. of Commerce, Patent and Trademarks Form PTO-1449 JUL 1 9 2002 LIST OF ART CITED BY APPLICANT (Use several sheets if necessary Technology Center 2100 Filing Date Attorney Docket No. Serial No. AUGUST 16, 2001 09/930,901 A-7598 Group Applicant: AKINS ET AL. 2132 U.S. PATENT DOCUMENTS Exami Document No. Date Name Class Subclass ner's Initial 5/15/79 AAPermut et al. 4,155,042 11/9/82 AB 4,358,672 Hyatt et al. AC 6/14/83 Aminetzah 4,388,643 9/20/83 Rivest et al. AD 4,405,829 Weschselberger ΑE 4,531,020 6/23/95 et al. AF 7/15/86 Thomas et al. 4,600,921 AG 9/23/86 Gilhousen et al. 4,613,901 AH 1/6/87 Chorley et al. 4,634,807 ΑI 4,649,533 3/10/97 Chorley et al. ΑJ 4,658,093 4/14/87 Hellman Gilhousen et al. AK 12/8/87 4,712,238 AL 12/8/87 4,712,239 Frezza AM 4/5/88 Mason 4,736,422 AN 4/18/89 Hegendörfer 4,823,385 AO 9/5/89 Bennett et al. 4,864,615 Seth-Smith et AP 9/12/89 4,866,770 AQ 12/5/89 Takaragi et al. 4,885,777 AR 4,887,296 12/12/89 Horne

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Exami ner's Initial		Document No.	Date	Name	Class	Subclass
	AS	4,912,762	3/27/90	Lee et al.		
	AT	4,982,430	1/1/91	Frezza et al.		
	AU	4,993,068	2/12/91	Piosenka et al		
	AV	5,003,591	3/26/91	Kauffman		
	AW	5,018,196	5/21/91	Takaragi et al.		
	AX	5,029,207	7/2/91	Gammie		
	ΑÝ	5,036,537	7/30/91	Jeffers et al.		
	ΑZ	5,073,935	12/17/91	Pastor		
	BA	5,124,117	6/23/92	Tatebayashi et al.		
	BB	5,142,578	8/25/92	Matyas et al.		
	ВС	5,151,782	9/29/92	Ferraro		
	BD	5,155,591	10/13/92	Wachob		
	ВE	5,175,765	12/29/92	Perlman		
	BF	5,231,665	7/27/93	Auld et al.		·
	BG	5,235,643	8/10/93	Anderson et al.		
	ВН	5,237,610	8/17/93	Gammie et al.		
	BI	5,243,652	9/7/93	Teare et al.		
	ВЈ	5,249,230	9/28/93	Mihm, Jr.		

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Form P	TO-1449	LIST	OF ART CIT	e, Patent and Trade ED BY APPLICA neets if necessary		Technology Cenfe	
Attorne A-7598	y Docket		erial No. 1/930,901		Filing I	Date ST 16, 2001	
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	BK	5,270,822	12/14/93	Choi			
	BL	5,282,248	1/25/91	DeJoy			
	BM	5,282,249	1/25/94	Cohen et al.		·	
	BN	5,285,497	2/8/94	Thatcher, Jr.			
	во	5.301,233	4/5/94	Coutrot et al.			
	ВР	5,341,425	8/23/94	Wasilewski et al.			
	BQ	5,343,527	8/30/94	Moore			
	BR	5,381,477	1/10/95	Beyers III et al.			
	BS	5,381,481	1/10/95	Gammie et al.			
	BT	5,400,401	3/21/95	Wasilewski et al.			
	BU	5,402,490	3/28/95	Mihm, Jr.			'
	BV	5,414,773	5/9/95	Handelman			
	BW	5,420,866	5/30/95	Wasilewski			
	BX	5,425,101	6/13/95	Woo et al.			
	BY	5,432,542	7/11/95	Thibadeau et al.			
	BZ	5,440,633	8/8/95	Augustine et al.			
	CA	5,465,299	11/7/95	Matsumoto et al.			
	СВ	5,473,692	12/5/95	Davis			

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	CC	5,481,542	1/2/96	Logston et al.			
	CD	5,481,613	1/2/96	Ford et al.			
	CE	5,488,410	1/30/96	Lieberfarb et al.			
	CF	5,497,422	3/5/96	Tysen et al.			
	CG	5,499,294	3/12/96	Friedman			
	СН	5,499,295	3/12/96	Cooper			
	CI	5,506,904	4/9/96	Sheldrick et al.			
	CJ	5,509,073	4/16/96	Monnin et al.		·	
	CK	5,519,780	5/21/96	Woo et al.	·		
	CL	5,524,052	6/4/96	Augustine et al.			
	СМ	5,550,984	8/27/96	Gelb			
	CN	5,557,678	9/17/96	Ganesan			
	СО	5,557,765	9/17/96	Lipner et al.			
	СР	5,588,058	12/24/96	Le Berre			
	CQ	5,559,889	9/24/96	Easter et al.			
	CR	5,563,950	10/8/96	Easter et al.			
	CS	5,565,909	10/15/96	Thibadeau et al.			
	СТ	5,568,552	10/22/96	Davis		·	

Form P	TO-1449	U.S. D. LIS	T OF ART	CITI	, Patent and Trader ED BY APPLICA eets if necessary	marks NT	Technology Cente
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Exami ner's Initial		Document No.	Date		Name	Class	Subclass
	CU	5,568,554	10/22/	96	Eastlake 3rd		·
	CV	5,583,939	12/10/	96	Chang et al.		
	CW	5,590,202	12/31/	96	Bestler et al.		
	CX	5,621,793	. 4/15/9	7	Bednarek et al.		
	CY	5,671,276	9/23/9	7	Eyer et al.		
	CZ	5,675,649	10/7/9	7	Brennan et al.		·
	DA	5,740,246	4/14/9	8	Saito		
	DB	5,742,677	4/21/9	8	Pinder et al.		
	DC	5,764,770	6/9/98		Schipper et al.		·
	DD	5,787,172	7/28/9	8	Amold		
	DE	5,857,020	1/5/99		Peterson, Jr.		
	DF	5,862,220	1/19/9	9	Perlman		
	DG	5,870,474	2/9/99		Wasilewski et al.		
	DH	5,920,626	7/6/99		Durden et al.		
	DI	6,005,938	12/21/	99	Banker et al.		
	DJ	6,105,134	8/15/0	0	Pinder et al.		
	DK	6,246,767	6/12/0	1	Akins, III et al.		

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	DL	RE33,189	3/27/90	Lee et al.				
	DM	RE33,808	1/28/92	Wright, Jr.				
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	DN.	EP 0 723 371 A	7/24/96	Europe				
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ner's Initial		Document No.	Date	Ivaine	Class	Juberas		
	DR	ISO/IEC 13818-1, "Information Technology – Generic Coding of Moving Pictures and Associated Audio Systems," Draft of: November 13, 1994.						
	DS		29/WG11,	"Universal Multi-P			Transport	
	·DT	ISO/IEC JTC1/SC January 1993.	29/WG11,	"An MGEG-2 Mult	ti-Program l	Multiplex S	Syntax,"	
	DU	ISO/IEC JTC1/SC Multiplexing of M Transport Layer,"	IPEG and (November	Requirements and Mother Digital Service 1992.	e Bitstreams	s with Univ	versal V	
	DV	Whitfield, Diffie,	"Authentic YPTOGRA	ation and Authentic APHY, An Internation	ated Key E	xchanges," , Vol. 2, N	DESIGNS, o. 2, June	
	DW	Schneier, Bruce, 'and Source Code	'Applied C	ryptography Second s 357-363.	Edition: P	rotocols, A	lgorithms	

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	DX	Menezes, Alfred	J., "Handbo	ook of Applied Cry	ptography," pa	ages 506-525.	V	
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS:

PINDER ET AL.

FILED:

JULY 30, 2003

DOCKET NO.:

A-9158

TITLE:

METHODS AND APPARATUS FOR PROVIDING A PARTIAL DUAL-ENCRYPTED STREAM IN A CONDITIONAL ACCESS OVERLAY

SYSTEM

JULY 30, 2003

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

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The Cited Art includes:

1. Scientific-Atlanta, Inc. Pending Application Serial #Unknown, Atty. Docket No. A-8919, Filing Date: June 25, 2003, Title: "Method for Partially Encrypting Program Data," Inventors: Anthony J. Wasilewski and Howard G. Pinder.

- 2. Scientific-Atlanta, Inc. Pending Application Serial #10/602,986, Atty. Docket No. A-9233, Filing Date: June 25, 2003, Title: "Method for Partially Encrypting Program Data," Inventors: Anthony J. Wasilewski and Howard G. Pinder.
- 3. Scientific-Atlanta, Inc. Pending Application Serial #10/602,988, Atty. Docket No. A-9286, Filing Date: June 25, 2003, Title: "Method for Partially Encrypting Program Data," Inventors: Anthony J. Wasilewski and Howard G. Pinder.

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publications and other foreign language information listed on PTO Form 1449, as presently
understood by the individual(s) designated in 37 CFR 1.56(c) most knowledgeable about the
content is given on the attached sheet, or where a foreign language patent is cited in a search
report or other action by a foreign patent office in a counterpart foreign application, an English
language version of the search report or action which indicates the degree of relevance found by
the foreign office is listed on the form PTO 1449 and is enclosed herewith.

The following rights are reserved by the Applicant(s): the right to establish the patentability of the claimed invention over any of the listed documents should they be applied as reference, and/or the right to prove that some of these documents may not be prior art, and/or the right to prove that some of these documents may not be enabling for the teachings they purport to offer.

This statement should not be construed as a representation that an exhaustive search has been made, or that information more material to the examination of the present application does not exist. The Examiner is specifically requested not to rely solely on the materials submitted herewith. The Examiner is requested to conduct an independent and thorough review of the documents, and to form independent opinions as to their significance.

It is requested that the information disclosed herein be made of record in this application and that the Examiner initial and return a copy of the enclosed PTO-1449 to indicate the documents have been considered.

Respectfully Submitted, By: SEND CORRESPONDENCE TO: SHELLEY L. COUTURIER Scientific-Atlanta, Inc. Agent of Record Intellectual Property Dept. MS 4.3.510 Reg. No.: 47,503 5030 Sugarloaf Parkway Phone: (770) 236-2352 Lawrenceville, GA 30044 Fax No.: (770) 236-4806 Certificate of Hand Delivery , hereby certify that this correspondence is being hand delivered to the U.S. Patent and Trademark Office on 2003.

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Form PTO-1449 U.S. Dept. of Commerce, Patent and Trademarks												
LIST OF ART CITED BY APPLICANT												
(Use several sheets if necessary												
Attorney Docket No. Serial No.							Filing Date					
A-9158 UNKNOWN					JULY 30, 2003							
Applicant: Gro												
PINDER ET AL. UNKNOWN U.S. PATENT DOCUMENTS												
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(OTHER					nor Title, Date						
	AJ					application Seria						
		8919, Filing Date: June 25, 2003, Title: "Method for Partially Encrypting Program										
	AK	Data," Inventors: Anthony J. Wasilewski and Howard G. Pinder. Scientific-Atlanta, Inc. Pending Application Serial #10/602,986, Atty. Docket No.										
	AK					3, Title: "Metho						
						ny J. Wasilewski						
	AL					pplication Seria						
						3, Title: "Metho						
	A N 4	Program Data,"	Inven	itors: Ani	tho	ny J. Wasilewski	and How	ard G. Pind	ler.			
AM												
EXAMINER					DATE CONSIDERED:							
EXAMINER: Initial if reference considered, whether or not citation is in conformance with												
MPEP 609. Draw line through citation if not in conformance. Include copy of this form with												
next communication to Applicant.												

UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS:

PINDER ET AL.

FILED:

JULY 30, 2003

DOCKET NO.:

A-9158

TITLE:

METHODS AND APPARATUS FOR PROVIDING A PARTIAL DUAL-ENCRYPTED STREAM IN A CONDITIONAL ACCESS

OVERLAY SYSTEM

JULY 30, 2003

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Transmitted herewith for filing in the above-identified patent application, please find:

2 Page Information Disclosure Statement

Form PTO-1449

3.

Copy of Cited Art

In the event a fee is required, the Commissioner is hereby authorized to charge payment of any fees required in connection with this Information Disclosure Statement to our Deposit Account No. 19-0761. A duplicate copy of this letter is transmitted herewith.

Respectfully submitted:

SEND CORRESPONDENCE TO:

Scientific-Atlanta, Inc.

Intellectual Property Dept. MS 4.3.510

5030 Sugarloaf Parkway

Lawrenceville, GA 30044

SHELLEY L. COUTURIER

Agent of Record

Reg. No.: 47,503

Phone: (770) 236-2352

Fax No.: (770) 236-4806

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2003.

Form P	TO-1449	LIST O	F ART CITE	, Patent and Trader ED BY APPLICA eets if necessary					
Attorne	y Docket	t No. Ser	ial No.	Filing Date					
A-9233		UN	KNOWN		JUNE 25, 2003				
Applica			Gro						
AKINS	ET AL.	TT		KNOWN DOCUMENTS					
·		Uai	S, PAILINI.	DOCUMENTS					
Exami	mi Document No.		Date	Name	Class	Subclass			
ner's Initial									
	AA	5,418,782	5/23/95	Wasilewski	370	73			
	AB	5,420,866	5/30/95	Wasilewski	370	110.1			
	AC	6,009,116	12/28/99	Bednarek et al.	375	200			
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	AK	2003/0046686	3/6/03	Candelore et al.	725	31			
	AL	2003/0081776	5/1/03	Candelore	380	200			
FOREIGN PATENT DOCUMENTS									
		Document No.	Date	Country	Class S	Subclass	Translation		
	AM								
	AN								
	AO								
	AP								
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	AQ								
	AR								
	AS .								
EXAMINER				DATE CONSIDERED:					
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance. Include copy of this form with next communication to Applicant.